



OFFICE OF THE REGISTRAR :: DIBRUGARH UNIVERSITY :: DIBRUGARH

Ref. No. DU/DR-A/FYUGP(Commerce)-Corrected/2024/71

Date: 29.01.2024

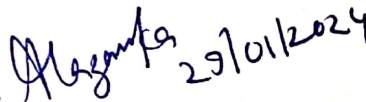
NOTIFICATION

As recommended by the Board of Studies (BoS) in Commerce, Dibrugarh University in its meeting held on 23.01.2024 and approved by the Hon'ble Vice-Chancellor, Dibrugarh University, it is for information of all concerned that the Course ***Introduction to Stock Market*** has been introduced as ***Minor-3 in Semester-III in place of Indian Banking Regulations*** under ***Finance (Major) Programme*** in Commerce of Four Year Under Graduate Programme (FYUGP) with immediate effect.

A copy of the syllabus is attached herewith.

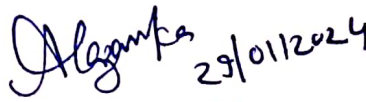
This issues under report to the next meetings of the Under Graduate Board and Academic Council, Dibrugarh University.

Issued with due approval.


Deputy Registrar (Academic)
Dibrugarh University

Copy for kind information and necessary action to:

1. The Hon'ble Vice-Chancellor, Dibrugarh University.
2. The Deans, Dibrugarh University.
3. The Registrar, Dibrugarh University.
4. The Head, Department of Commerce, Dibrugarh University.
5. The Chairperson, Board of Studies (BoS) in Commerce, Dibrugarh University.
6. All the Principals of the affiliated / permitted Colleges of Dibrugarh University offering Commerce Stream.
7. The Controller of Examinations i/c, Dibrugarh University.
8. The Joint Controller of Examinations-'C', 'B' and 'A', Dibrugarh University.
9. The Programmer, Dibrugarh University, with a request to upload the Notification on the D.U. Website.
10. File.


Deputy Registrar (Academic)
Dibrugarh University

BACHELOR OF COMMERCE PROGRAMME (FYUGP)

DETAILED SYLLABUS OF 3rd SEMESTER

Course Title: INTRODUCTION TO STOCK MARKET

Course Code: Nature of the Course: Minor Course Credit: 04
Credits.

Distribution of Marks: 80 (End Sem) + 20 (In-Sem)

Learning Objectives

The course aims to familiarize the students with the stock trading mechanism functioning in India.

To provide knowledge about the regulatory framework and the institutions offering services or facilitating stock trading.

Unit	Contents	L	T	P
Unit I Introduction	Securities Market; New Issue Market-Functions and New Issue Mechanism, Merchant Bankers role and functions, Underwriters. Process of opening Dmate account and its operation. Financial Instruments – Types, Features and advantages –Equity and special types of equity, ADRs & GDRs ; Preferred stock - Equity derivatives – Credit derivatives	10	2	2
Unit II Secondary Market	Functions of Secondary Market; Development of Stock Market in India; Reforms in the Stock Market. Recognition of Stock Exchange, Power of Central Govt and SEBI in regulating the Exchanges.	8	2	-
Unit III Stock Exchange	Stock exchanges--Role and Functions. Organisation structure and management of Stock Exchanges. Leading Stock Exchanges of India—NSE and BSE.	8	-	-
Unit IV Stock market participants	Reforms in the Stock exchange, Listing provision of securities in the SEs; Online trading of securities. Role of Intermediaries to the stock market—Stock Broker, Depositories, Depository Participants, Custodians, Clearing House for settlement. Stock Market Operation	8	4	6
Unit V Stock Market Indices	The concept of Stock Market Index; Importance; Methodology for calculating the Index. Major indices in India-SENSEX, Nifty 50, Nifty 100 Sectoral Indices. Inclusion and Exclusions of securities in calculating an Index.	8	4	6
	Total	42	12	14

Modes of In-Semester assessment: 20 Marks.



One sessional Examination: 10 Marks.

Others (Any one): 10 Marks

Assignment

Group Discussion

Seminar presentation, Visiting Securities traders offices to see live trading and making a report

Learning outcomes

After completion of the course, learners will be able to:

1. Open their own Demate account for securities trading.
2. They will be competent enough to invest in the both secondary and primary security market.
3. They will be able to know about the entire process of issuing securities in the primary market.

SUGGESTED READINGS:

1. Khan, MY; Indian Financial System, Tata McGraw Hill, New Delhi.
2. ICAI, Financial Services, The Institute of Chartered Accountants of India. New Delhi.
3. Bhole LM,; Financial Markets and Institutions; Tata McGraw Hill, New Delhi.
4. Gupta Suraj B.; Monetary Economics, Institutions , Theory and Policy. S. Chand & Co. New Delhi.
5. Varshney, P.N and Mittal, DK; Indian Financial System. Sultan Chand & Sons New Delhi.
6. Bharati V Pathak; Indian Financial System; Pearson India Education Services Pvt Ltd. Noida 201301, UP. India
7. Singh J. K. & Singh A. K. (2017). *Investing in Stock Markets*. Delhi: A. K.Publications, Delhi.
8. Tripath V. & Pawar N. (2022). *Investing in Stock Markets*: Taxmann Publications.